

The Biginelli reaction in different solvents and in presence of bismuth nitrate: Thermodynamical investigation into the mechanism by means of DFT calculation and experimental results

Mhamed Ben Messaouda^{a,b,*}, Hela Slimi^c, Manef Abderrabba^a, Ridha ben Salem^c, Younes Moussaoui^{c,d}

^aUniversity of Carthage, Laboratoire Matériaux, Molécules et Applications, IPEST, B.P.51, 2075, La Marsa, Tunisia. ^b University of Manouba, Higher Institute of Biotechnology of Sidi-ThabetBP-66, 2020, Sidi Thabet, Ariana, Tunisia. ^cUniversity ofSfax, Physical Organic Chemistry Laboratory, (UR11-ES74), Science Faculty of Sfax, Sfax Tunisia. ^dUniversity of Gafsa, Science Faculty of Gafsa, Tunisia.

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Abstract: The Biginelli reaction has been studied by means of theoretical computations and experimental approaches to determine its mechanism. Experimentally, different starting conditions were considered for a better comprehension of the mechanism. In the computation section, we investigated different approaches using B3LYP/6-311G++(d,p) basis sets in gas phase and IEFPCM model to simulate the effect of solvent (acetonitrile, dichloromethane, ethanol, methanol, THF, toluene and water). For each solvent two temperatures were studied computationally: 298K and under solvent evaporation temperature (reflux conditions). Our computations showed that the reaction starts with the condensation of urea and aldehyde which provides anacylimine intermediate. This last reaction reacts with 1,3-dicarbonyl compound to give, after cyclization and dehydration, the Biginelli product. This step is followed by dehydration and cyclization to release the Biginelli product. The role of Bi(NO₃)₃ into the mechanism process has also been taken in consideration during our study.

Keywords: Biginelli reaction; mechanism; B3LYP;Bi(NO₃)₃.

INTRODUCTION

Multicomponent reactions (MCRs) [1-4] are of great importance in organic synthesis and medicinal chemistry [5-9]. These reactions offer one-pot combination of three or more reactants allowing in a single step direct access to complex molecules [10-13]. One of the most cited MCRs is the Biginelli reaction, reported for the first time in 1893 by the Italian Chemist Pietro Biginelli [14]. This reaction leads to the formation of 3,4-dihydropyrimidin-2 (1H)-one in one-pot condensation of benzaldehyde, ethylacetoacetate and urea under acid catalysis [14]. Then, the Biginelli reaction has been extended to include variations in all of its components allowing access to a large number of dihydropyrimidinone derivatives [3,15-19]. Dihydropyrimidinones have been becoming very interesting due to their pharmacological and biological properties [5,8,2022]. Because to their medicinal properties, the synthesis of the dihydropyrimidinones has attracted the attention of researchers to establish efficient and rapid procedures for their synthesis. This has led to the development of several methodologies by the three component Biginelli reaction [12,18,23-25]. However, since its discovery, several reaction pathways has been reported for the Biginelli reaction. The proposed pathway by Cepanac et al. [17] includes the formation of a C=N bond from aldehyde and urea, followed by addition of β-ketoester and cyclodehydration yielding the corresponding dihydropyrimidinone. The same pathway has been reported previously by Folkers and Johnson [26], Sweet and Fisskis [27] and Kappe [28]. Recently, Slimi et al. [29] reported the same pathway for Biginelli reaction catalyzed by bismuth nitrate in acetonitrile.

*Corresponding author, e-mail address: mhamed.benmessaouda@isbst.rnu.tn, Fax: +216 71 288 647, Tel: +216 98 306 897



We wish to report, here, a mechanistic study of the three-component Biginelli reaction of acetaldehyde, pentan-2,4-dione and urea catalyzed by bismuth nitrate in different solvents (acetonitrile, dichloromethane, ethanol, methanol, THF, toluene and water). Density functional theory calculations were used to verify the proposed pathway for the Biginelli reaction. We scoop to carry out three usual Biginelli pathways and their intermediates, studying thermodynamical constants at different temperatures as well as the spectroscopic properties. Hence, we intend to determine which pathway or pathways are probably involved at different temperatures.

EXPERIMENTAL

All compounds were characterized by IR, ¹H NMR spectra and ¹³C NMR spectra. The IR spectra were recorded in KBr with a JASCO FT-IR-420 spectrometer, with a precision of ±2 cm⁻¹ in the range 400-4000 cm⁻¹. The ¹H NMR spectra (300 MHz) and ¹³C NMR spectra (75 MHz) were obtained on a Bruker AC300 spectrometer using DMSO-d₆ as solvent and TMS as an internal standard, chemical shift are given in ppm. Melting points were taken on a Reichert-Heizbank apparatus.

1. Procedure

Acetonitrile (20 mL) and Bi(NO₃)₃ (0.4 mmol) are introduced into a round-bottomed flask equipped with a cooling device. The acetaldehyde (12 mmol), urea (12 mmol), and pentane-2,4-dione (8 mmol) are added and the solution is permitted to react for 2.5 h with magnetic stirring at room temperature. The mixture is then washed with water and filtered. The resulting product is recrystallized in ethanol.

5-Acetyl-4,6-dimethyl-3,4-dihydropyrimidin-2 (1H)–one: 1 H-NMR (300 MHz, DMSO-d₆) δ_{H} :1.23 (d, J= 6.3Hz, 2H), 2.17 (s, 3H), 2.23 (s, 3H), 4.20 (q, J= 6.3Hz, 1H), 5.44 (b, 1H), 5.69 (b, 1H). 13 C-NMR (75MHz, DMSO-d₆) δ_{C} : 18.78, 21.95, 23.07, 46.31, 111.56, 146.97, 158.10, 194.08.

The considered temperatures are 298 K, as the standard temperature; and some reflux temperatures of usual solvents where the reaction takes place, such as, dichloromethane (313 K), methanol (338 K), THF (339 K), ethanol (351 K), acetonetrile (355 K), water (373 K) and toluene (384 K).

2. Computational details

The electronic calculations were done using the GAUSSIAN package [30]. The reaction was

studied at 298 K in the gas phase and under IEFPCM [31] simulation at 298 K and solvent's boiling temperature.

3. Geometry optimizations

We searched for stationary points determining equilibrium geometries and a set of spectroscopic parameters (rotational constant, harmonic wave numbers) using the B3LYP/6-311G++(d,p) [32] as well as implemented in the Gaussian code.

IEFPCM calculations were carried out at 298K and the ebullition temperature of each solvent. Geometry optimizations and harmonic frequencies calculations were done at the C1 point group.

Calculations involving Bismuth were carried out using CAM-B3LYP [33], we used LanL2DZ basis sets also as implemented in the Gaussian code [34-37].

3. RESULTS AND DISCUSSION

3.1. Experimental section

We have studied the Biginelli one pot condensation of acetaldehyde with pentane-2,4-dione and urea in acetonitrile using bismuth nitrate as catalyst at room temperature (Figure 1).

The reaction mechanism is most likely to unfold in three possible outcomes (Figure 2):

- Pathway 1: The first step is a condensation between the aldehyde and urea to give an acylimine-type intermediate. This last one reacts with the dicarbonyl derivative to give, after cyclization and dehydration, the final dihydropyrimidinone.
- Pathway 2: The reaction starts with the condensation between urea and dicarbonyl derivative to give theintermediate, which by further condensation with the aldehyde provides the dihydropyrimidinone.
- Pathway 3: The first step of the reaction is a Knoevenagel condensation between the aldehyde and the 1,3-dicarbonyl compound. Knoevenagel product obtained, reacts with urea to yield the final dihydropyrimidinone.

Figure 1. Biginelli reaction of acetaldehyde, pentan-2,4-dione and urea.



Figure 2. Possible pathways of Biginelli reaction.

In order to determine the most likely mechanism for our case, we performed in the same experimental conditions the reaction involving the following reactants:

- the reaction between acetaldehyde and urea;
- the reaction between acetaldehyde and pentane-2,4-dione;

- the reaction between urea and pentane-2,4-dione. The monitoring of these three reactions shows that only the condensation between the aldehyde and urea provides a product that can be isolated and identified. This interpretation confirms that the most likely mechanism for the Biginelli reaction is that which corresponds to the first proposal. These results are in good agreement with those found by Hu et al. [38]. Thus, the activation of the Biginelli reaction by bismuth nitrate in acetonitrile starts with the condensation of urea and aldehyde, which is an acylimine type condensation. This last one reacts with the 1,3-dicarbonyl compound to give, after cyclization and dehydration, the Biginelli product. In this respect, the mechanism of the Biginelli reaction involving acetaldehyde, urea and pentane-2,4-dione can be summarized as follows (Figure 3) [29].

The mechanism starts by obtaining the acylimine intermediate, followed by an enolization of pentane-2,4-dione, before the condensation between the enolized pentane-2,4-dione and the acylimine intermediate, to form an intermediate which undergoes cyclization and dehydration to give the final dihydropyrimidinone.

To briefly recapitulate: only the reaction between aldehyde and urea provides the product, Bi(NO₃)₃ is primordial for the reaction, but it works as a

catalyst not as a reactant, since the reaction works even with reduced quantities of this salt.

3.2. Theoretical section

3.2.1. Part 1: Computations neglecting the role of Bi(NO₃)₃

Since the studied reaction uses Bi(NO₃)₃ as a catalyst, we provide into this part the reaction with a classical thermodynamical parameters study.

Recently, Rodrugo et al. [39] studied theoretically this reaction using B3LYP/6-31G* basis set and

Figure 3. Proposed mechanism for the Biginelli reaction catalyzed by Bi(NO₃)₃.



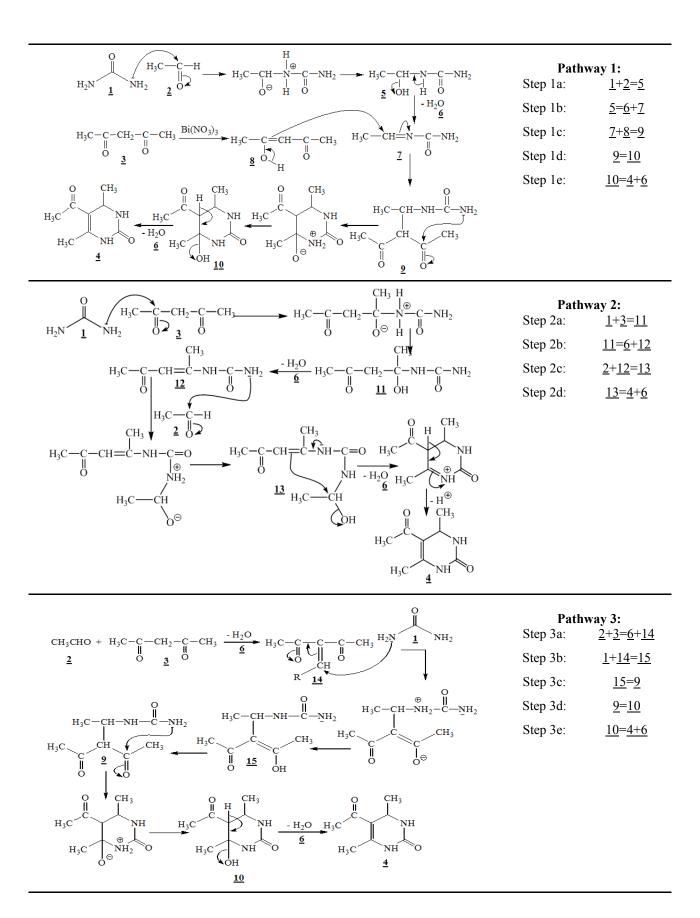


Figure 4. Studied Biginelli mechanisms: (a) Pathway 1; (b) Pathway 2; (c) Pathway 3.



focusing their argument to transition state description.

In our work we consider each mechanism as a succession of elementary steps. The following

mechanisms, named pathways 1, 2 and 3 represented in Figure 4, were taken under consideration. Each pathway was fractioned on a multitude of steps describing the main

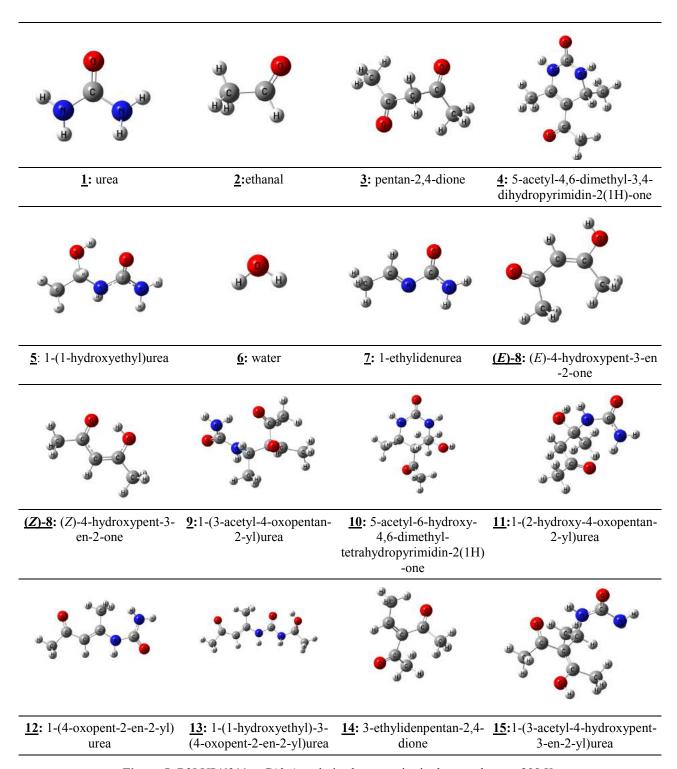


Figure 5. B3LYP/6311++G(d,p) optimized geometries in the gas phase at 298 K.



intermediates. Every intermediate has been assigned to a code number (Figure 4). B3LYP/6-311G++(d,p) was used to compute studied geometries in this section as well in the gas phase as in different solvents (acetonitrile, dichloromethane, ethanol, methanol, THF, toluene and water) by mean IEFPCM computations. For each solvent, we studied the reaction under 298 K and under its evaporation (reflux) temperature trying to improve temperature conditions for this reaction. We note that compound § (4-hydroxypent-3-en-2-one, Figure 4), has been studied separately under configurations (*Z*) and (*E*) forms.

After geometry optimization, no imaginary frequencies were found for all structures.

Structural discussion

Figure 5 gives, as an example, the name and the B3LYP 6-311G++(d,p) equilibrium structure for every computed molecule at 298 K in gas phase. We recapitulate in table I the rotational constants and three characteristic modes of vibration for computed molecules in the same conditions.

In accordance to table I, we note that the computed vibrational values are overestimated by 7-8% compared to usual experimental values. Nevertheless, those results may be helpful to attribute the experimental modes of vibration as well as to compare the influence of the substitution on vibrational modes and the following of the reaction evolution. As an example, we note urea C=O vibrator increases by 4 cm⁻¹ between urea (compound 1) and the final product (compound 4). It is also interesting to note that in the compound (Z)-8, v_{OH} corresponding to OH stretch was moved from 3780 cm⁻¹ in (*E*)-8 to 3067 cm⁻¹ in (*Z*)-8. This value is due to the sharing of H between the two carbonyl groups in (Z)-8.

Energetical discussion

Table II describes the free enthalpy energy exchange calculated during each step for the pathways 1, 2 and 3.

On the pathway 1, we could notice that the reaction involving (E)- $\underline{8}$ is the only way leading to the final product. This behavior let to explain that the

Table I. Rotational constants and three characteristic modes of vibration for computed molecules at 298 K.

Code	Rotatio	onal constant	s (gHz)	Some characteristic vibrations (cm ⁻¹)				
1	11.19	10.32	5.41	$v_{(C=O)}=1786$	$v_{(NH)a} = 3689$	$v_{(NH)s} = 3579$		
<u>2</u>	57.25	10.13	9.09	$v_{(C=O)}=1807$	$\nu_{(\underline{\mathrm{CH}}(\mathrm{O}))}\!\!=\!\!2872$	$\delta_{(\underline{CH(O)})}=1420$		
<u>3</u>	4.15	1.93	1.52	$v_{(C=O)}=1794$	$v_{(C=O)}=1763$	$v_{(\underline{CH}3)a} = 3143$		
<u>4</u>	1.48	0.74	0.55	$v_{(NH)} = 3623;3618$	$v_{((\underline{C=O})N)}=1787$	$v_{((\underline{C=O})C)}=1715$		
<u>5</u>	5.35	1.71	1.41	$v_{(C=O)}=1748$	$v_{(OH)} = 3762$	$v_{(NHRR')} = 3585$		
<u>6</u>	824.0	429.8	282.5	$v_{\text{(OH)a}}=3919$	$v_{\text{(OH)s}}$ =3814	$\delta_{\text{(OH)}}=1603$		
<u>7</u>	9.87	2.09	1.74	$v_{(NH)a} = 3743$	$v_{(NH)s}$ =3606	$v_{(C=O)}=1774$		
<u>(E)-8</u>	4.92	1.65	1.26	$v_{(OH)} = 3780$	$v_{(C=O)}=1705$	$v_{(C=C)}=1675$		
<u>(Z)-8</u>	5.82	1.71	1.34	$v_{(OH)} = 3067$	$v_{(C=O)}=1676$	$v_{(C=C)}=1676$		
<u>9</u>	1.18	0.54	0.46	$v_{(NH)a} = 3670$	$v_{((\underline{C=O})N)}=1758$	$\delta_{(NH)} = 1474$		
<u>10</u>	1.17	0.68	0.52	$v_{(OH)} = 3824$	$v_{(NH)}$ = 3630;3614	$v_{((\underline{C=O})N)}=1757$		
<u>11</u>	1.40	0.83	0.66	$v_{(OH)} = 3798$	$v_{(NH)a}$ =3663	$v_{((\underline{C=O})N)}=1752$		
<u>12</u>	3.42	0.59	0.51	$v_{(NH)a} = 3721$	$v_{(NH)} = 3589$	$v_{((\underline{C=O})N)}=1773$		
<u>13</u>	2.39	0.28	0.25	$v_{(OH)} = 3773$	$v_{((\underline{C=O})N)}=1756$	$v_{((\underline{C=O})C)}=1732$		
<u>14</u>	1.91	1.46	0.93	$v_{(C=O)}=1763$	$v_{(C=O)}=1733$	$v_{(C=C)}=1646$		
<u>15</u>	1.00	0.57	0.47	$v_{(OH)} = 3836$	$v_{(NH)a} = 3648$	$v_{((\underline{C=O})N)}=1761$		



 $\textbf{Table II: } B3LYP/6\text{-}311G++(d,p) \ computed \ free \ enthalpy \ exchange \ \Delta rG \ (kJ.mol^{-1}).$

				Patl	hway1				
Condition	T(K)	Step 1a	Step 1b	Step 1c via (<i>Z)-</i> 8	Step 1c via (<i>E</i>)-8	Step 1d	Step 1e	Reaction via (Z)-8	Reaction via (E)-8
Gas	298	29.27	-3.22	39.86	-18.95	18.79	-53.13	31.56	-27.24
	298	46.63	-16.55	37.38	-8.66	21.40	-65.47	23.38	-22.66
Acetonitrile	355	56.79	-26.71	49.18	3.43	22.99	-74.55	27.70	-18.06
	298	45.38	-14.41	37.16	-10.32	20.83	-64.24	24.72	-22.77
Dichloromethane	313	48.07	-17.00	40.14	-7.25	21.26	-66.61	25.86	-21.53
T.1 1	298	46.51	-15.85	36.95	-9.27	21.34	-65.28	23.67	-22.55
Ethanol	351	55.88	-25.44	48.09	2.16	22.83	-73.76	27.60	-18.34
26.4.4	298	46.48	-15.99	36.94	-9.15	21.41	-65.36	23.49	-22.61
Methanol	338	53.60	-23.04	45.16	-0.74	22.53	-71.71	26.54	-19.35
THE	298	45.22	-14.05	37.29	-10.92	20.69	-63.93	25.22	-22.99
THF	339	52.67	-21.17	45.44	-2.55	21.89	-70.45	28.38	-19.62
T. 1	298	38.41	-9.75	39.69	-10.05	18.55	-59.51	27.39	-22.35
Toluene	384	54.02	-24.57	56.31	8.01	21.12	-73.11	33.77	-14.53
***	298	46.84	-17.52	37.91	-7.84	21.49	-65.67	23.05	-22.69
Water	373	60.17	-31.12	53.67	8.30	23.57	-77.61	28.68	-16.69
				Patl	hway2				
Condition	T(K)	Step 2a	Step 2b	Step 2c	Step 2d			Reaction	
Gas	298	81.43	-37.58	25.49	-54.31			15.03	
A t i t - i 1 -	298	99.94	-55.39	36.48	-66.97			14.06	
Acetonitrile	355	111.53	-65.44	46.17	-73.11			19.15	
Dist.1	298	97.59	-52.72	35.33	-65.83			14.37	
Dichloromethane	313	100.62	-55.34	37.88	-67.44			15.72	
T411	298	99.57	-54.97	36.23	-66.68			14.15	
Ethanol	351	110.30	-64.30	45.29	-72.52			18.78	
Mathanal	298	99.79	-55.31	36.37	-66.76			14.10	
Methanol	338	107.92	-62.34	43.16	-71.04			17.70	
THE	298	97.23	-52.12	35.19	-65.68			14.63	
THF	339	105.59	-59.31	42.20	-70.13			18.35	
T-1	298	89.55	-45.09	31.89	-62.25			14.11	
Toluene	384	106.88	-60.17	46.98	-72.00			21.68	
Water	298	100.44	-55.96	36.71	-67.13			14.06	
water	373	115.71	-69.21	49.46	-75.20			20.76	
				Patl	hway3				
Condition	T(K)	Step 3a	Step 3b	Step 3c	Step 3d	Step 3e		Reaction	
Gas	298	32.06	68.38	-51.06	18.79	-53.13		15.03	
Acetonitrile	298	21.50	76.60	-39.97	21.40	-65.47		14.06	
rectomane	355	22.80	87.18	-39.26	22.99	-74.55		19.15	
Dichloromethane	298	22.61	76.60	-41.43	20.83	-64.24		14.37	
Diemorometiane	313	23.00	79.28	-41.21	21.26	-66.61		15.72	
Ethanol	298	21.64	76.70	-40.25	21.34	-65.28		14.15	
Ethunor	351	22.89	86.63	-39.82	22.83	-73.76		18.78	
Methanol	298	21.48	76.50	-39.93	21.41	-65.36		14.10	
Monanoi	338	22.42	83.89	-39.43	22.53	-71.71		17.70	
THF	298	22.81	77.88	-42.82	20.69	-63.93		14.63	
1111	339	23.82	85.58	-42.50	21.89	-70.45		18.35	
Toluene	298	24.75	73.04	-42.72	18.55	-59.51		14.11	
TOTACHE	384	26.70	88.37	-41.41	21.12	-73.11		21.68	
Water	298	21.18	76.62	-39.56	21.49	-65.67		14.06	
vv atci	373	22.88	90.52	-38.60	23.57	-77.61		20.76	

form of **8** [41].



system stabilizes in a certain way (E)-8 isomer despite the fact that (Z)- $\underline{8}$ is thermodynamically more stable. As an example our computations showed that in the gas phase at 298 K, (Z)-8 is more stable than (E)- $\frac{8}{8}$ by -58.80 kJ.mol⁻¹. (Z)- $\frac{8}{8}$ is even more stable than its ketonic form (compound 3, Figure 4) by -16.53 kJ.mol⁻¹ under the same conditions. Hence, it becomes obvious here the contribution of Bi(NO₃)₃, we see later. It is interesting also to notice that in all studied solvents, the reaction is more thermodynamically favorable at 298 K than at the reflux temperature. This difference ranges between 1 and 8 kJmol⁻¹, depending of the solvent, whereas reactions via (Z)-8 intermediate were less unfavorable underreflux temperature than 298 K.

On pathway 2, the reaction seems thermodynamically impossible under considered conditions with $14 < \Delta rG < 21 \text{ kJ.mol}^{-1}$. Into this process, step 2a seems to be the main energetical barrier ($80 < \Delta rG < 120 \text{ kJ.mol}^{-1}$). We note also a similar attitude than reactions via (Z)-8, where the thermodynamical loss is less important under reflux temperature than 298 K.

Finally, on pathway 3, we have the same behavior as pathway 2, where the reaction seems thermodynamically impossible and the energetical loss is less important under reflux temperature than 298 K.

3.2.2. Part 2: Investigations into the role of Bi(NO₃)₃ We show in the last section that the only pathway to obtain the desired product is the first pathway, via (E)-4-hydroxypent-3-en-2-one intermediate named (E)- $\mathbf{8}$, where this intermediate seems less stable than its (Z) stereoisomer. Recently, some works tried to explain theoretically the role of Bi (NO₃)₃ or some related derivatives as reactant or catalyst [40,41], where BiCl₃ and by extrapolation Bi(NO₃)₃ reacts with pentan-2,4-dione ($\mathbf{3}$) to lead R-O-BiCl₂ complex and HCl; R-O is the alkoxide

Considering the experimental fact that Bi(NO₃)₃ have to be used in catalytic way in our study, we studied its evolution with both (*Z*)-8 and (*E*)-8 derivatives. Computations were considered with CAM-B3LYP/LanL2DZ since B3LYP/6-311G++ (d,p) is not available for Bismuth. This approach did not affect our previous results as Bi(NO₃)₃ is a

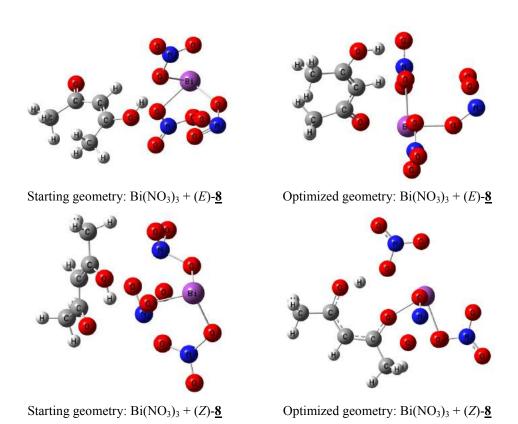


Figure 6. CAM-B3LYP/LanL2DZ optimized geometries for Bi(NO₃)₃ with (E)-8 and (Z)-8



catalyst and did not affect the final thermodynamical energy balance. Thus, we put Bi $(NO_3)_3$ and respectively (Z)- $\underline{\mathbf{8}}$ and (E)- $\underline{\mathbf{8}}$ derivatives and let the system fully optimizes energy and geometry.

Structural discussion

Figure 6 gives the starting and optimized geometries computed at gas phase in CAM-B3LYP/LanL2DZ level of calculations between Bi(NO₃)₃ and respectively (*Z*)-**8** and (*E*)-**8**. No positive frequencies were reported.

The first remarkable information from Figure 6 is when the reaction between $Bi(NO_3)_3 + (E)$ -8 did not note any significant evolution, $Bi(NO_3)_3 + (Z)$ -8 leads to the formation of R-O-Bi(NO₃)₂ and HNO₃. The initial system is no more stable and leads to new products.

This result is confirmed by v_{OH} stretch calculated at 2949 cm⁻¹ for Bi(NO₃)₃ + (Z)-8 due to the sharing of H between the enol function and HNO₃, whereas we found 3706 cm⁻¹ for Bi(NO₃)₃ + (E)-8 which is a classical O-H vibration.

These results seems to be in accordance with the fact that only $Bi(NO_3)_3 + (E)-\underline{8}$ let to obtain reached products.

Energetical discussion

Energetically, reaction $Bi(NO_3)_3 + (E)$ -8 has a free enthalpy gain of -90.4 kJ.mol⁻¹ probably due to Van Der Walls interactions stabilizing this new Bismuth complex.

Reaction between $Bi(NO_3)_3 + (Z)$ -8 leading to new compounds has also a high energy gain of -106.5 kJ.mol⁻¹. The problem is that this last consideration is not really in accordance with experimental results whenBi(NO₃)₃ reacts as a catalyst not a reactant.

Some recent studies suggest a regeneration of Bi(NO₃)₃ as R-Bi(NO₃)₂ + HNO₃ [40,41], but we think that the formation and the disappears of HNO₃ still have to be confirmed experimentally, at least under the conditions we studied.

Finally, we propose that the role of Bi(NO₃)₃ is to stabilize the (E)- $\underline{\mathbf{8}}$ facilitating the step 1-c attack (Figure 4).

CONCLUSION

Biginelli reaction has been studied theoretically and experimentally under different solvents and temperatures to determine its mechanism. Our results show that both computational and experimental approaches confirm that the first pathway involving a condensation between the aldehyde and urea to give an acylimine-type intermediate, is the easiest way for the Biginelli reaction. The role of $Bi(NO_3)_3$ suggests the stabilization of (E)-4-hydroxypent-3-en-2-one isomer, which is theoretically primordial for the reaction process.

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